

**Provider and Representative disclosures in Terms of the Financial Advisory and Intermediary Services (FAIS) Act** As a client you have the right to the following information:



**1. Your Financial Services Provider, Ck Capital cc**

<b>Name</b>	Ck Capital cc
<b>Physical Address</b>	142 Morris Rd Strathavon Sandown
<b>Postal Address</b>	PO Box 652539, Benmore, 2010
<b>Tel</b>	(011) 883-2623
<b>Fax</b>	(086) 634-7043
<b>Email</b>	<a href="mailto:craig@ckcapital.co.za">craig@ckcapital.co.za</a>
<b>Web</b>	<a href="http://www.ckcapital.co.za">http://www.ckcapital.co.za</a>

**2. Legal Status of Provider Ck Capital cc** is a closed corporation, which was established in 2004. As a licensed Financial Services Provider in terms of the FAIS Act, Ck Capital cc accepts responsibility for the actions of its representatives acting within their mandates, in rendering services as defined by FAIS. Our representatives meet the fit and proper requirements as prescribed by FAIS, to assist you in a professional manner with your financial requirements.

**3. Details of Representative**

<b>Name</b>	Craig Gerber
<b>Office Address</b>	142 Morris Rd Strathavon Sandown
<b>Postal Address</b>	PO Box 652539, Benmore, 2010
<b>Tel</b>	(011) 883-2623
<b>Fax</b>	(086) 634-7043
<b>Email</b>	<a href="mailto:craig@ckcapital.co.za">craig@ckcapital.co.za</a>

**4. Legal Status of Representative**

- ⌚ **Ck Capital** confirms that its representatives are mandated and entitled to render financial advice and/or intermediary services to you in terms of the FAIS act.
- ⌚ **Ck Capital** representatives do not have Professional Indemnity Insurance.

**5. Ck Capital compliance and complaints department** If you do have a complaint or a compliance related query, please do not hesitate to contact our compliance officer, Craig Gerber, telephone number (011) 883-2623 or facsimile number (086) 634-7043, or email [craig@ckcapital.co.za](mailto:craig@ckcapital.co.za), who will be able to assist you in this regard.

**6. FAIS Ombud**

Should a complaint not be resolved to your satisfaction, you may forward such a complaint to the office of the Ombud for Financial Service Providers.

<b>Name</b>	Mr Charles Pillay
<b>Contact</b>	(012) 428-8000
<b>Facsimile</b>	(012) 347-0221
<b>Toll Free</b>	0800 110 443
<b>Address</b>	The Financial Services Board, PO Box 35655, Menlo Park, 0102

**7. Other Matters of Importance**

- 7.1. Do not sign any blank or partially completed application form
- 7.2. Complete all forms in ink
- 7.3. Keep all documents handed to you
- 7.4. In terms of the Financial Intelligence Centre Act, Ck Capital is obliged to report any suspicious and unusual transactions that may facilitate money laundering
- 7.5. It is important that you are absolutely sure that the product or transaction meets your needs and that you feel you have all the information you need before making a decision.

**8. Financial Services Products** As an authorised Financial Services Provider, Ck Capital has been licensed by the Financial Services Board in terms of the FAIS Act, to render advice and intermediary services in respect of the following financial products:

- ⌚ Long-term insurance: Category B,C
- ⌚ Pension Fund Benefits
- ⌚ Participatory interest in one or more collective investment scheme
- ⌚ Medical Schemes

**Ck Capital** representatives are therefore mandated to render services on Ck Capital's behalf, in respect of these classes of financial products, provided by: Liberty Life, Discovery Life, Momentum Life, PPS, Old Mutual, Altrisk, Stanlib, Allan Gray, Investec, Discovery Health, Momentum Health, Oxygen, Profmed, Fedhealth, Medsheild, Resolution Health, Nimas Medical Scheme.

**9. Client Confirmation**

I confirm I have read this document and have received a copy of this notice.

Client Name \_\_\_\_\_ Signature \_\_\_\_\_ Date \_\_\_\_\_